

PRE-AMNESTY

- Present - December 31, 2015
- Eligible if engaged in reportable lobbying activities but have not filed since December 10, 2006
- Complete the Notice of Intent to Participate in the Pre-Amnesty

Forms available at
www.cityclerk.nyc.gov

AMNESTY

- January 1, 2016 - June 30, 2016
- Eligible if engaged in reportable lobbying activities but have not filed since December 10, 2006
- The NYS Joint Commission on Public Ethics is offering an amnesty program with similar eligibility requirements and application period as the Office of the City Clerk.



THE LOBBYING BUREAU

The Lobbying Bureau is responsible for the regulation and enforcement of New York City's Lobbying Law. The Lobbying Bureau works to ensure increased compliance and further the goal of greater transparency in government. The Lobbying Bureau offers training and assistance on the law and its filing requirements. For further information, please contact our office.

CONTACT INFORMATION

Address:
**Office of the City Clerk
Lobbying Bureau
141 Worth Street
New York, New York 10013**

Help Desk:
lobbyist_helpdesk@cityclerk.nyc.gov

Phone: **212-669-8171**

Fax: **212-669-4224**

Website: www.cityclerk.nyc.gov

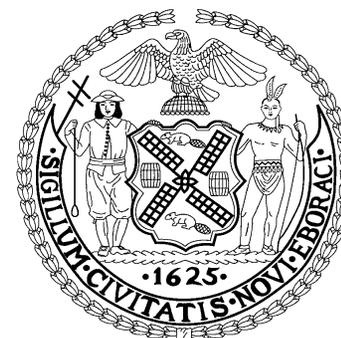


OFFICE OF THE CITY CLERK THE CITY OF NEW YORK

LOBBYING BUREAU

ONE-TIME AMNESTY OPPORTUNITY

JANUARY 1, 2016 – JUNE 30, 2016



**MICHAEL MCSWEENEY
CITY CLERK
CLERK OF THE COUNCIL**

(REVISED 12/15/2015)

STEP 1:

IS YOUR ORGANIZATION LOBBYING?

The law defines lobbying as the attempt to influence eleven specific activities:

1. Any determination made by the NYC Council with respect to local legislation;
2. Any determination made by the Mayor with respect to local legislation;
3. Any NYC determination with respect to procurement of goods or services or the solicitation, award or administration of a grant, loan or agreement involving the use of public monies;
4. Any NYC determination involving zoning or land use;
5. Any NYC determination regarding the sale or purchase of real estate or a license or permit for the use of real estate;
6. Any determination regarding a NYC agency rule;
7. Any part of a City agency rate making proceeding;
8. The agenda or determination of a NYC board or commission;
9. Calendaring or scope of a NYC Council Oversight Hearing;
10. Issuance, repeal, change or substance of a mayoral executive order; or
11. Any support or opposition of federal or state legislation by a NYC elected official or employee.

EXAMPLES OF LOBBYING ACTIVITIES:

- Attempting to obtain discretionary funding from the NYC Council
- Attempting to influence the NYC Council or mayor with respect to passing a new law
- Attempting to influence investment decisions of the NYC's Pension Funds and Retirement Systems

STEP 2:

IS YOUR ORGANIZATION'S ACTIVITY EXCLUDED FROM LOBBYING?

The following activities do not have to be reported because they are exclusions listed in the Lobbying Law:

1. Advising or rendering opinions in relation to legislation, rules or other proposed legislative action;
2. Media publication or broadcast of news items, editorials, or paid advertisements;
3. Participation in public rule making or rate making proceedings of a NYC agency;
4. Appearing before a NYC agency in an adjudicatory proceeding;
5. Responding to an information request from NYC government;
6. Contractors or their representatives who appear before NYC in the regular course of the contracting process;
7. Advertising goods or services with fliers, etc.;
8. Appearances by architects and engineers before a community board in an adjudicatory proceeding;
9. Drafting and designing plans by architects, engineers and their subordinates even if preceded or followed by lobbying activity;
10. Architects and engineers when appearing before a NYC board or commission in actions designated as minor by the City Clerk; or
11. Architects and engineers when performing capital projects pursuant to a NYC contract.

STEP 3:

HAS YOUR ORGANIZATION REACHED THE REPORTING THRESHOLD?

If your organization exceeded \$5,000 in combined compensation and expenses with respect to NYC lobbying in a calendar year for any year from 2014 to the present, then your organization has reached the reporting threshold.

Please note: In 2014 the reporting threshold was raised. Prior to 2014, your organization reached the threshold if it exceeded \$2,000 in combined compensation and expenses with respect to NYC lobbying in a calendar year.

As of 2014, the threshold amount for architects/engineers is \$10,000.

IS YOUR ORGANIZATION ELIGIBLE FOR AMNESTY?

- ✓ Your organization engaged in reportable lobbying activity after December 10, 2006.
- ✓ Your organization has never registered or filed reports on e-Lobbyist.
- ✓ Your organization is not under criminal investigation relating to a lobbying law violation.
- ✓ Your organization is not a party to criminal litigation involving a violation of the lobbying law.
- ✓ If each of these requirements is satisfied, please apply for amnesty to avoid late fees or civil penalties.