



**THE CITY OF NEW YORK
OFFICE OF THE CITY CLERK**

ANNOUNCEMENT REGARDING AMNESTY

Please be advised that the Office of the City Clerk is establishing an amnesty program for any lobbyist or client who was required to file, but did not file, a statement of registration or an annual report pursuant to New York City Administrative Code §3-211 *et seq.* from December 10, 2006 to the present. Late filing penalties and civil penalties will be waived for qualifying applicants, and qualifying applicants will not be subject to any criminal penalties authorized by §3-223 of the New York City Administrative Code.

Applications for participation in the amnesty program are being accepted from January 1, 2016 through June 30, 2016. No applications will be accepted after the deadline. Please read the attached instructions and complete the application.

Thank you for your prompt attention to this matter. If you have any questions, please contact the Lobbying Bureau at lobbyist_helpdesk@cityclerk.nyc.gov or (212) 669-8171.



**THE CITY OF NEW YORK
OFFICE OF THE CITY CLERK**

INSTRUCTIONS REGARDING APPLICATION FOR AMNESTY

Commencing on January 1, 2016 and ending on June 30, 2016, an amnesty program will be available for: (1) any lobbyist who was required to file, but has never filed, a statement of registration pursuant to section 3-213 of the New York City Administrative Code (“Administrative Code”), at any time on or after December 10, 2006 or (2) any client who was required to file, but has never filed, an annual report pursuant to section 3-217 of the Administrative Code, at any time on or after December 10, 2006. *See* Administrative Code §3-223(i) and §1-16 of Title 51 of the Rules of the City of New York (“RCNY”). Applications must be submitted by first-class mail or hand-delivery to the Office of the City Clerk, Lobbying Bureau, 141 Worth Street, New York, New York 10013, Attn: Amnesty. All applications must be received no later than 11:59 p.m. Eastern Standard Time on June 30, 2016. Any applications received after the deadline will be rejected.

If the application satisfies the requirements of the City Clerk, the City Clerk will waive any late filing and civil penalties that could be assessed, as set forth in section 3-223 of the Administrative Code, for the period from December 10, 2006 to the earlier of (i) the date the application was filed or (ii) the date the notice of intent to participate in the amnesty program was filed. *See* 51 RCNY §1-16(e). In addition, the applicant will not be subject to any criminal penalties authorized pursuant to section 3-223 of the Administrative Code for the same period. *See* 51 RCNY §1-16(e).

A lobbyist or client is ineligible to participate in the amnesty program if he or she is: (i) the subject of any pending criminal investigation relating to any violation of subchapter 2 of chapter 2 of title 3 of the Administrative Code (the “Lobbying Law”); or (ii) a party to any pending criminal litigation in any court of law relating to any violation of the Lobbying Law. If the City Clerk determines that an applicant is not entitled to the relief provided by the amnesty program, the City Clerk will issue a written statement to the applicant describing the reasons for such determination. *See* 51 RCNY §1-16(f). **Please note: If the City Clerk determines that an applicant to participate in the program is not entitled to the relief provided by the program, applicable penalties will not be waived and the City Clerk or other enforcement agencies may proceed with any administrative, civil or criminal action against the lobbyist or client. See 51 RCNY §1-16(f)(4).**



**THE CITY OF NEW YORK
OFFICE OF THE CITY CLERK**

AMNESTY APPLICATION

STATE OF _____

COUNTY OF _____

I _____, the principal officer of _____ (the "Entity"),
Name Legal entity name

located at _____,
Business address of the entity

being duly sworn, state the following under the penalty of perjury:

1. At some point during the period December 10, 2006 to the present, the Entity was required to file
(check as applicable):

- a statement of registration with the Office of the City Clerk (the "City Clerk") pursuant to section 3-213 of the Administrative Code of the City of New York (the "Administrative Code"), and/or
- a client annual report with the City Clerk pursuant to section 3-217 of the Administrative Code.

2. Please indicate what year(s) the Entity was required to file a statement of registration or client annual report as required by Administrative Code §3-211 *et seq.* (the "Lobbying Law").

- | | |
|-------------------------------|-------------------------------|
| <input type="checkbox"/> 2007 | <input type="checkbox"/> 2012 |
| <input type="checkbox"/> 2008 | <input type="checkbox"/> 2013 |
| <input type="checkbox"/> 2009 | <input type="checkbox"/> 2014 |
| <input type="checkbox"/> 2010 | <input type="checkbox"/> 2015 |
| <input type="checkbox"/> 2011 | |

3. The Entity is neither the subject of any criminal investigation relating to any violation of the Lobbying Law nor a party to any criminal litigation in any court of New York or of the United States relating to any violation of the Lobbying Law.
4. Upon filing this application, if the Entity is currently engaged in reportable lobbying activities, the Entity shall immediately comply with the Lobbying Law by enrolling in e-Lobbyist and filing all applicable required reports for the 2016 filing year, including, but not limited to, statement(s) of registration, periodic report(s), fundraising and political consulting report(s), or a client annual report.
5. I understand that (i) the City Clerk will waive any applicable late filing and civil penalties and (ii) the Entity will not be subject to any criminal penalties authorized by the Lobbying Law **only** upon the Entity's compliance with section 3-223(i)(3) of the Administrative Code.
6. I understand that if this application for amnesty is denied, the Entity may be liable for any late filing and civil penalties that could be assessed against it, and may be subject to any criminal penalties authorized by section 3-223 of the Administrative Code, for failure to comply with the Lobbying Law for any period beginning on or after December 10, 2006.

9. I, the undersigned, hereby certify that all statements contained in this application are true, accurate and complete and are made under the penalty of perjury. I understand that the Office of the City Clerk will act in reliance on the statements made in this application.

Dated: _____

Principal Officer (Signature)

Print Name

Sworn to before me on this _____ day
of _____, 2016

Business Entity Name

Notary Public