

Office of the City Clerk



Clerk of the Council

Office of the City Clerk Clerk of the Council

Lobbying Bureau Annual Report

As required by Local Law 15 of 2006

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City Clerk, Clerk of the Council**

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March 1, 2010

Introduction

This is the fourth annual report issued by the Lobbying Bureau (the “Lobbying Bureau”) of the Office of the City Clerk (the “City Clerk”) pursuant to Section 3-212(c) of the Administrative Code of the City of New York (the “Administrative Code”) the text of which follows:

The city clerk shall prepare and post on the internet an annual report relating to the administration and enforcement of the provisions of this subchapter. Such report shall contain information regarding (i) the number of complaints received from the public and the disposition of such complaints; (ii) the number and amount of civil penalties imposed pursuant to subdivisions (a), (b), (c) and (d) of section 3-223 of this subchapter; (iii) the number and duration of orders issued pursuant to subdivision (a) of section 3-223 of this subchapter; (iv) the number of random audits conducted by the clerk and outcomes thereof; (v) compliance programs developed and implemented for lobbyists and clients; and (vi) such other information and analysis as the city clerk deems appropriate. Such report shall be posted on the internet no later than March first of each year and shall contain information relating to the preceding calendar year.

Listed immediately below is the information required by this section of the New York City’s lobbying law (the “Lobbying Law”).

(i) Number of complaints received from the public and the disposition of such complaints

The Lobbying Bureau received one complaint of unreported lobbying from the public in 2009. Since that complaint is being reviewed no information regarding its disposition will be divulged in compliance with the Lobbying Bureau’s policy of maintaining all aspects of an investigation confidential until completion of such investigation and issuance of a finding.

In the annual report issued on March 1, 2009, the Lobbying Bureau announced it had received complaints of unreported lobbying in 2008. The results of the completed investigations conducted by the Lobbying Bureau into these complaints are as follows:

- It was determined that the Coalition to Ban Horse Drawn Carriages had not exceeded the threshold for reporting lobbying activities in 2007 and 2008 and was not required to file a statement of registration or periodic reports pursuant to Sections 3-213 and 3-216 of the Administrative Code, respectively.
- Contrary to the complaint of non-reporting of lobbying activity with respect to the ban on horse-drawn carriages, it was determined that the American Society for the Prevention of Cruelty to Animals had reported all such activity with the Lobbying Bureau in 2007, 2008 and 2009.
- The League of Humane Voters of New York (“LOHV”) was found to have: (i) engaged in unreported lobbying activities in 2007 and 2008; (ii) failed to timely file statements of registration in 2007 and 2008 pursuant to Section 3-213 of the Administrative Code and (iii) failed to timely file periodic reports in 2007 and 2008 pursuant to Section 3-216 of the Administrative Code. As a result, LOHV was assessed late filing fees in the amount of \$104,290.
- Contrary to the complaint of non-reporting of lobbying activity with respect to the ban on horse-drawn carriages, it was determined that People for the Ethical Treatment of Animals (“PETA”) registered as a lobbyist with the Lobbying Bureau in 2008 and 2009 and reported all such activity. In 2007, PETA did not engage in lobbying activities in NYC.
- Flushing Willets Point Corona Local Development Corporation (the “FWP”) was found to have: (i) engaged in unreported lobbying in 2007 and 2008, (ii) failed to timely file statements of registration in 2007 and 2008 pursuant to Section 3-213 of the Administrative Code and (iii) failed to timely file periodic reports in 2007 and 2008 pursuant to Section 3-216 of the Administrative Code. As a result, FWP has filed the requisite registrations and reports and was assessed late filing fees in the amount of \$59,090.

(ii) Number and amount of civil penalties imposed pursuant to subdivisions (a), (b), (c) and (d) of section 3-223 of the Administrative Code

Violators of the Lobbying Law are subject to penalties pursuant to Section 3-223(a) of the Administrative Code. The penalty for knowing and willful violations of the Lobbying Law includes financial sanctions not to exceed thirty thousand dollars or the issuance of a cease-and-desist order. Section 3-223(b) of the Administrative Code subjects violators to a penalty not to exceed thirty thousand dollars for violations of a cease-and-desist order or the proscription against contingency agreements in the Lobbying Law. In addition to self-executing late fees for the untimely filing of any statement or report, Section 3-223(c) of the Administrative Code subjects violators to a penalty not to exceed twenty thousand dollars. For any violation of the Lobbying Law not covered in any of the foregoing sentences, Section 3-223(d) of the Administrative Code subjects violators to a penalty not to exceed twenty thousand dollars. Outlined below are the penalties (primarily late fees and penalties pursuant Section 3-223(a) of the Administrative Code) incurred by violators listed by filing period.

Annual Reports

The Lobbying Bureau identified and notified 348 entities that the filing of their 2008 annual reports was untimely. As a result a number of such lobbyists and clients came into compliance. Subsequently, the Lobbying Bureau commenced 162 actions at the Office of Administrative Trials and Hearings (“OATH”) against the remaining delinquents for failure to timely file their 2008 annual reports. Consequently, civil penalties potentially ranging from \$40,200 to \$238,200¹ were imposed. (See Appendix A attached hereto.) Additionally, total late fees incurred were \$314,790 as of February 21, 2010. (See Appendix B & C attached hereto.)

¹ In imposing the civil penalties the administrative law judges recommended reduced civil penalties to encourage prompt compliance. Typically a \$20,000 civil fine would be reduced to \$2,000 if the respondent filed the required report within 60 days of the City Clerk’s order, to \$5,000 if the respondent filed within 90 days of the order, and to \$10,000 if the respondent filed within six months of the order. Any filing later than six months would result in the full \$20,000 penalty being assessed.

Periodic Reports

To date, the Lobbying Bureau has identified and notified 62 lobbyists that the filing of their first periodic reports was untimely. For this failure a number of such lobbyists incurred \$53,485 in late filing fees as of February 21, 2010. See Appendix D attached hereto.

To date, the Lobbying Bureau has identified and notified 40 lobbyists that the filing of their second periodic reports was untimely. For this failure a number of such lobbyists incurred \$34,660 in late filing fees as of February 21, 2010. See Appendix E attached hereto.

To date, the Lobbying Bureau has identified and notified 45 lobbyists that the filing of their third periodic report was untimely. For this failure a number of such lobbyists incurred \$23,825 in late filing fees as of February 21, 2010. See Appendix F attached hereto.

To date, the Lobbying Bureau has identified and notified 44 lobbyists that the filing of their fourth periodic report was untimely. For this failure a number of such lobbyists incurred \$14,975 in late filing fees as of February 21, 2010. See Appendix G attached hereto.

To date, the Lobbying Bureau has identified and notified 41 lobbyists that the filing of their fifth periodic reports was untimely. For this failure such lobbyists incurred \$7,475 in fines as of February 21, 2010. See Appendix H attached hereto.

As a result of the 232 total notifications listed in the five paragraphs immediately above, a number of such lobbyists came into compliance. Subsequently, the Lobbying Bureau commenced 39 actions at OATH against the remaining delinquents for failure to timely file their periodic reports for the first three reporting periods.

(iii) Number and duration of orders issued pursuant to subdivision (a) of section 3-223 of the Administrative Code

None.

(iv) Number of random audits and outcomes thereof

The Lobbying Bureau performed random audits of 30 entities in 2009. The audits encompassed an examination of all filings made in 2008 by the selected entity on behalf of its client or itself to determine if such filings were complete, accurate, and timely filed and in full compliance

with the Lobbying Law. In 2008, the Lobbying Bureau, pursuant to Section 3-216(a)(1) of the Administrative Code, modified the reporting periods to conform to the reporting periods required by the New York State Public Integrity Commission (previously known as the New York Temporary State Commission on Lobbying) resulting in a six bi-monthly reporting schedule for filers per calendar year. As a result, the 30 audits conducted by the Lobbying Bureau in 2009, in comparison with the 2008 audits, represent a significant increase in the actual number of filings examined.

The audits resulted in the issuance of 83 findings and consequently 83 required actions. A sample of audit findings include reporting incorrect amounts of compensation, attributing compensation to the wrong reporting period, registering clients under a different entity name than the names used by the actual clients and failing to list employees lobbying for clients in statements of registration and periodic reports. Examples of actions required to be undertaken by audited parties include amending reports to correct information, providing an affirmation to corroborate amounts reported by the lobbyist, certifying an amended report and reporting specific information about the subject matter and target(s) of lobbying activities.

(v) Compliance programs developed and implemented for lobbyists and clients

The Lobbying Bureau, in a variety of methods, works with lobbyists and clients to improve compliance with the Lobbying Law.

Training

The Lobbying Bureau holds training sessions whenever necessitated by change in policy or law or in case of new enhancements to e-Lobbyist. At the most recent training session held on November 30, 2009, the Lobbying Bureau provided information and training on new enhancements to e-Lobbyist. Representatives of the Department of Information, Technology and Telecommunications (“DoITT”) provided screen shots of the new applications. Enhancements announced included improvements designed to ensure more complete and accurate information on the targets of lobbyists and their clients and the ability to file termination notices online. Lobbyists and clients were instructed how to report lobbying targets and activities using selections from a drop-down menu that includes the names of all city council members and all city agencies identified in the Green Book, the official directory of the City of New York. This feature will facilitate easier and more accurate reporting of both the name of the individual and the agency lobbied as well as the

subject matter of lobbying activities. It also enhances the ability of the public to learn which specific individuals and what specific agencies were lobbied by the reporting lobbyist and client.

During the question-and-answer portion of the training session attendees had the opportunity to get answers about the new enhancements to e-Lobbyist, their reporting responsibilities and to clarify issues regarding lobbying rules and regulations as well as enrollment and registration procedures. As with prior training sessions, the training material was placed [online at the City Clerk's website](#). This action, though primarily taken for the benefit of non-attending filers, contemporaneously facilitates wider dissemination of the information.

Outreach and Education

In addition to the training session described above, the Lobbying Bureau participates in various user-specific training sessions that focus on specific segments of the lobbying community. On March 20, 2009, the Deputy Counsel offered a training session for not-for-profit community-based organizations in Queens Borough Hall. The Lobbying Bureau continues to offer a public kiosk for the convenience of the lobbying community and assistance in the filing of requisite lobbying reports.

Customer Assistance, Continued Awareness and Other Compliance Efforts

As a result of the feedback at the November 30, 2009 training session, a decision was made to offer clients a blanket extension for Client Annual Reports due on January 15, 2010 to February 16, 2010 to allow them additional time to get acclimated to the recent enhancements in e-Lobbyist.

The Lobbying Bureau continues to send notices to untimely filers of their failure to meet filing deadlines for reports and of penalties for non-compliance. The Lobbying Bureau also sends email notices to the principal officers and designees of lobbyist and client entities, providing important information in real time pertaining to areas such as filing deadlines and training sessions.

Additionally, the Lobbying Bureau continues to offer information to the lobbying community and the public. Over the course of the year, the Lobbying Bureau received thousands of telephone calls and emails, answering questions and providing assistance on all aspects of the Lobbying Law.

(vi) Other information and analysis

Advisory Opinions

The Lobbying Bureau published advisory opinion 2009-1, updating advisory opinion 1987-1. Advisory Opinion 2009-1 states that a lobbying organization that is required to file a periodic report pursuant to section 3-216 of the Administrative Code should report its name, the names of those employees engaged in lobbying and the compensation it is paid or owed. The City Clerk will no longer require that a lobbying organization report the salaries or other forms of compensation received by its employees-who-lobby. However, for an organization that files a statement of registration as a “lobbyist/client”, meaning that it has an employee or employees who lobby on the organization’s behalf, the organization’s periodic reports must continue to list separately (by individual employee) the compensation attributable to lobbying paid or owed to its employees-who-lobby.

Improvements to e-Lobbyist

Among the enhancements to e-Lobbyist is the ability to file notices of termination online, and report targets with greater ease and accuracy. The e-Lobbyist system now automatically informs filers if their report is in draft status and has not been certified by the principal officer.

Number of Reporting Lobbyists and Clients

As of March 1, 2010, there were 580 lobbyists and 2,071 clients entities enrolled in e-Lobbyist.

Outlook For 2010

The Lobbying Bureau continues to strive to improve functionality and user friendliness of the e-Lobbyist application program. For 2010, the Lobbying Bureau, in partnership with DoITT, intends to release enhancements that would enable the scanning of retainer agreements by lobbyists and permit filers to make credit card payments online. In addition, DoITT is advancing search and report capabilities for the Lobbying Bureau’s investigative and legal staff. Ongoing efforts to improve the public’s access to a broader range of data and improved search functionality should be realized and

implemented in 2010. The Lobbying Bureau acknowledges the continuing efforts of DoITT in improving e-Lobbyist.

The Lobbying Bureau intends to widen its compliance enforcement measures in 2010 and increase public awareness of the reporting requirements of the Lobbying Law.

APPENDIX A

CIVIL PENALTIES IMPOSED FOR 2008 FILINGS

<u>ENTITY NAME</u>			<u>CIVIL PENALTY</u>
507-515-517 West 171 Street	\$ 2,000.00	to	\$ 20,000.00
72 Poplar Street, LLC	\$ 2,000.00	to	\$ 20,000.00
American Recycling Technologies	\$ 2,000.00		\$ 2,000.00
American Stevedoring	\$ 2,000.00	to	\$ 20,000.00
Ashoori	\$ 2,000.00	to	\$ 20,000.00
Brooklyn Community Management, LLC	\$ 1,000.00		\$ 1,000.00
Brooklyn Psychiatric Center	\$ 2,000.00	to	\$ 20,000.00
CDRN, LLC	\$ 1,000.00		\$ 1,000.00
Claremont Recycling	\$ 2,000.00	to	\$ 20,000.00
Community Services for Brooklyn, Inc.	\$ 300.00		\$ 300.00
Compass Learning	\$ 2,000.00		\$ 2,000.00
Gerard Owners Corp.	\$ 500.00		\$ 500.00
Glass House Development LLC	\$ 2,000.00	to	\$ 20,000.00
Gowanus Canal Joint Ventures	\$ 2,500.00		\$ 2,500.00
Gowanus Green Partners	\$ 1,000.00		\$ 1,000.00
Los Pollitos Restaurant Corp.	\$ 500.00		\$ 500.00
Manhattan Neighborhood Network	\$ 1,000.00		\$ 1,000.00
Monadnock Construction	\$ 1,000.00		\$ 1,000.00
Motor Vehicle Operators Union Local 983	\$ 1,000.00		\$ 1,000.00
Neighborhood Retail Alliance	\$ 2,000.00	to	\$ 20,000.00
Network Solution, Inc.	\$ 500.00		\$ 500.00
North Shore Ambulance and Oxygen Service	\$ 1,000.00		\$ 1,000.00
Port Parties, Ltd.	\$ 2,000.00	to	\$ 20,000.00
Sleepy Hudson	\$ 2,000.00	to	\$ 20,000.00
Street Squash Community Youth Center	\$ 1,500.00		\$ 1,500.00
Stuart Weissman Productions II, Inc.	\$ 2,000.00	to	\$ 20,000.00
West-Park Presbyterian Church	\$ 400.00		\$ 400.00
Ziggurat Solution, LLC	\$ 1,000.00		\$ 1,000.00
TOTAL	<u>\$ 40,200.00</u>	to	<u>\$ 238,200.00</u>

APPENDIX B

LATE FEES: 2008 LOBBYIST SIXTH PERIODIC/ANNUAL REPORT

<u>LOBBYIST ENTITY NAME</u>	<u>AMOUNT</u>
Common Cause/NY	\$ 25.00
Coppola Ryan McHugh Riddell	\$ 25.00
Gerber & Gerber, PLLC	\$ 1,775.00
Manatt, Phelps & Phillips, LLP	\$ 200.00
Metropolitan New York Coordinating Council on Jewish Poverty	\$ 1,825.00
Motion Picture Association of America	\$ 1,775.00
New York Association of Community Organizations for Reform NOW	\$ 3,525.00
New York City Coalition Against Hunger	\$ 1,025.00
P.S. 1 Contemporary Art Center, Inc.	\$ 25.00
Responsible New York	\$ 1,025.00
Riker Danzig Scherer Hyland Perretti LLP	\$ 2,325.00
Salamone Consulting, Inc.	\$ 2,175.00
The Coalition for New York City Animals, Inc.	\$ 3,650.00
The Women's Housing and Economic Development Corporation	\$ 1,800.00
Tribeca Film Institute	\$ 2,150.00
West Harlem Environmental Action, Inc.	\$ 550.00
	<u><u>\$ 23,875.00</u></u>

APPENDIX C

LATE FEES: 2008 CLIENT ANNUAL REPORT

<u>CLIENT ENTITY NAME</u>	<u>AMOUNT</u>
1045 NY DE LLC	\$ 2,440.00
113 North 7th Street Rest., LLC	\$ 2,060.00
1610 Avenue S LLC	\$ 1,000.00
185 Pacific Street, Inc.	\$ 50.00
2064, LLC	\$ 25.00
209-211 Hester Street LLC	\$ 1,060.00
330 Hudson Owner LLC	\$ 1,540.00
342 East 72nd Street Corporation	\$ 220.00
385 Gerard Avenue LLC	\$ 1,880.00
62 Imlay Street Real Estate , LLC	\$ 2,525.00
625 Fordham LLC	\$ 2,325.00
823 Utica Avenue Realty Corp.	\$ 50.00
99 Sutton LLC	\$ 200.00
AAF 116 East 63rd Street Corp	\$ 175.00
Abyssinian Development Corporation	\$ 1,740.00
Action Carting Environmental Services, Inc.	\$ 80.00
Adams Respiratory Therapeutics	\$ 1,425.00
ADT Security Services, Inc.	\$ 1,550.00
AI 229 West 43rd Street Property Owner LLC	\$ 1,040.00
AI Gowanus Village LLC	\$ 1,100.00
Allied International Union	\$ 1,280.00
Amedeo Hotels Limited Partnership	\$ 10.00
American Federation of State County and Municipal Employees	\$ 2,720.00
American Museum of the Moving Image	\$ 25.00
American Recycling Technologies Inc.	\$ 5,650.00
Amsterdam 78, LLC	\$ 10.00
Anthony Scotto	\$ 1,400.00
Arbitron Inc.	\$ 1,050.00
Arcadis U.S., Inc. (f/k/a ARCADIS G&M, Inc.)	\$ 25.00
Atara Vanderbilt, LLC	\$ 300.00
Avalon Bay Communities, Inc.	\$ 25.00
bialystoker center & bikur cholim inc.	\$ 2,350.00
Bluewater Wind LLC	\$ 30.00
Bokharian Communities Center	\$ 5,675.00
Brooklyn Community Management LLC	\$ 1,980.00
Brooklyn Philharmonic Symphony Orchestra, Inc.	\$ 3,925.00
Building Industry Association of New York City, Inc.	\$ 200.00
Cactus of Harlem, LLC	\$ 175.00

CAMBA Housing Ventures	\$	75.00
CarePath, Inc.	\$	70.00
Catapult Learning	\$	2,270.00
Cayuga Capital Management LLC	\$	2,775.00
CCH Holdings Group LLC	\$	25.00
CDRN aka Lord and Taylor, LLC	\$	2,400.00
Center for Educational Innovation, Inc. Public Education Association	\$	1,250.00
Change to Win Federation	\$	50.00
Chapman Consulting LLC	\$	310.00
Chinatrust Bank	\$	10.00
Church Street School for Music and Art	\$	140.00
Civita Holding Corp.	\$	3,200.00
Collegiate School	\$	20.00
Common Cause/NY	\$	25.00
Common Ground Management Corp	\$	9,000.00
Communication Workers of America, Local 1182	\$	2,475.00
CompassLearning	\$	3,900.00
Council for Unity, Inc.	\$	2,325.00
CRP/Capstone 14 W, LLC	\$	980.00
CSFB, INC.	\$	2,540.00
Dermot BMB, LLC	\$	1,425.00
Diaspora Community Services, Inc.	\$	375.00
Ditmas Park Care Center	\$	440.00
DJL Family Limited Partnership	\$	1,000.00
Don Pancho Development Corp.	\$	140.00
Downtown Brooklyn Partnership	\$	375.00
Dysautonomia Foundation Inc.	\$	940.00
East Side Entrees, Inc.	\$	2,625.00
East View Condos, LLC	\$	500.00
Educational Housing Services, Inc.	\$	2,850.00
Exelon Corporation	\$	1,500.00
Extended Nursing Personnel CHHA, LLC	\$	75.00
Fast Operating Corp. dba Carmel Car Service	\$	940.00
Fine Times Management Co LLC	\$	10.00
First City Developers Inc.	\$	1,750.00
Fourier Systems	\$	200.00
Friendship Ambulette Services	\$	1,270.00
Fuel Outdoor, LLC	\$	930.00
Gad Ashoori PE	\$	2,460.00
Gap, Inc.	\$	800.00
Gay Men's Health Crisis, Inc.	\$	75.00
Gerard Owners Corp.	\$	6,150.00
Global Bay Mobile Technologies, Inc	\$	1,275.00

Gowanus Canal Joint Venture, LLC	\$	5,675.00
Gowanus Green Partners LLC	\$	2,240.00
Gruson, Andreas	\$	2,425.00
Hallets Point Development LLC	\$	7,550.00
Hispanic Federation, Inc.	\$	20.00
Horse and Carriage Association of New York	\$	2,700.00
Hudson Group (HG), Inc.	\$	30.00
Hunter	\$	25.00
ICBC Broadcast Holdings, Inc.	\$	30.00
IGR GROUP	\$	200.00
International Code Council, Inc	\$	6,125.00
Intralot Inc.	\$	210.00
Jay Wartski	\$	270.00
JC Dwight, Inc.	\$	2,500.00
Jetro Cash & Carry	\$	940.00
Jonas Equities, Inc.	\$	2,700.00
Kaplon-Belo Associates, Inc.	\$	1,080.00
Knapp Street Development, LLC	\$	70.00
LEG Astoria, LLC	\$	1,130.00
LEX 65 LLC	\$	1,620.00
Life Quality Motor Sales Inc.	\$	350.00
Lincoln West Commercial Holding Co.	\$	950.00
Los Pollitos Restaurant Corp.	\$	2,730.00
Lowe's Home Centers, Inc.	\$	25.00
Lubrizol Advanced Materials, Inc.	\$	510.00
Lutheran Social Services of New York	\$	1,000.00
Manhattan Neighborhood Network	\$	5,775.00
Maverick Developers, LLC	\$	60.00
Max Finkelstein, Inc.	\$	1,000.00
Medicare Rights Center	\$	25.00
MetaCarta Incorporated	\$	2,340.00
Metlife, Inc.	\$	6,100.00
Metropolitan New York Coordinating Council on Jewish Poverty	\$	1,825.00
Midtown Trackage Ventures LLC	\$	2,325.00
Monadnock Construction, Inc.	\$	2,250.00
N.S.P. Enterprises, Inc.	\$	2,325.00
NATO of New York State	\$	350.00
Network Solution, Inc.	\$	2,440.00
New Alternatives For Children, Inc.	\$	225.00
New Sunset Industrial Park LLC	\$	1,690.00
New York Fire Alarm Association, Inc.	\$	1,050.00
New York Therapeutic Riding Center, Inc.	\$	480.00
NextG Networks of NY, Inc.	\$	140.00

Niamor Capital Management LLC	\$ 400.00
North Shore Ambulance and Oxygen Service, Inc.	\$ 2,310.00
NRG Energy, Inc.	\$ 3,375.00
NY-1095 Avenue of the Americas, LLC	\$ 2,775.00
NYS Laborers' Political Action Committee	\$ 250.00
Open Space Institute, Inc.	\$ 980.00
P.S. 1 Contemporary Art Center, Inc.	\$ 50.00
Pacific Place LLC	\$ 1,060.00
Pacolet- Milliken Enterprises, Inc.	\$ 30.00
Park 121 Realty LLC	\$ 7,350.00
PD 33 street Corp.	\$ 1,460.00
Pioneer Management & Realty LLC	\$ 350.00
Project Orange Associates, LLC	\$ 160.00
Queens Chamber of Commerce	\$ 2,775.00
Rapid Park Holding Corp.	\$ 420.00
Retirees Association of DC 37, AFSCME, AFL-CIO	\$ 2,975.00
Richmond University Medical Center	\$ 1,110.00
Richmond Valley Holdings LLC	\$ 60.00
RJR Paratransit Corporation	\$ 1,190.00
RJS Development Corp. Inc.	\$ 2,260.00
RLJ Development, LLC	\$ 175.00
Rouse SI Shopping Center, LLC	\$ 1,060.00
Rudin Management Company	\$ 1,000.00
Safe Healthy Affordable Energy in New York, Inc.	\$ 1,480.00
Scharome Manor Home for Adults	\$ 1,150.00
Schur Management Co, Ltd	\$ 1,500.00
SDS	\$ 930.00
Shushana Co.	\$ 230.00
Sleepy Hudson, LLC	\$ 2,460.00
Small Hotel Owners Association, Inc.	\$ 2,760.00
South Slope Realty of Brooklyn, Inc	\$ 310.00
Sprint Nextel	\$ 4,000.00
Sri Chinmoy Centre Church, inc.	\$ 75.00
St. Francis College	\$ 225.00
StreetSquash, Inc.	\$ 2,310.00
STRIVE, Inc.	\$ 2,525.00
Swig Equities LLC	\$ 2,400.00
T A Ahern Contractors Corp.	\$ 3,725.00
Tannenbaum Helpern Syracuse & Hirschtritt LLP	\$ 1,890.00
Technodyne, LLC	\$ 2,750.00
The Gateway School of New York	\$ 3,000.00
The Nicotra Group, LLC	\$ 510.00
The Pomeranc Group LLC	\$ 2,500.00

The Rector, Church-Wardens and Vestrymen of Trinity Church	\$ 6,725.00
The Shubert Organization, Inc.	\$ 1,010.00
THEA POLIS LLC	\$ 1,040.00
Trinity Senior Services Inc.	\$ 10.00
Tri-State Mechanical Systems Inc.	\$ 100.00
United Food and Commercial Workers International Union	\$ 1,140.00
Univision Communications, Inc.	\$ 1,250.00
Urban Justice Center	\$ 2,700.00
Vantage Properties, LLC	\$ 2,675.00
Visiting Nurse Service of New York	\$ 10.00
W2005Z/18GPS Realty, LLC	\$ 1,080.00
WB Stellar IP Owner, LLC	\$ 7,400.00
West-Park Presbyterian Church	\$ 2,400.00
Willets Point Industry & Realty Inc.	\$ 2,450.00
Writers Guild of America, East	\$ 1,000.00
Yeshiva University	\$ 25.00
Zeldy Realty Corp	\$ 2,450.00
Ziggurat Solutions LLC	\$ 2,380.00

\$ 290,915.00

APPENDIX D

LATE FEES: 2009 LOBBYIST FIRST PERIODIC REPORT

<u>LOBBYIST ENTITY NAME</u>	<u>AMOUNT</u>
American Chemistry Council	\$ 25.00
Amigos del Museo del Barrio	\$ 1,400.00
Amirit Technologies, Inc.	\$ 2,700.00
Building Trades Employers' Association, Inc.	\$ 1,100.00
Child Care, Inc.	\$ 25.00
Citizens Union of the City of New York	\$ 75.00
Community Health Care Association of New York State, Inc.	\$ 1,425.00
Connelly And McLaughlin	\$ 9,150.00
District Council 37	\$ 200.00
General Electric Company	\$ 2,500.00
Greater New York Hospital Assn, Subsidiaries & Affiliates	\$ 100.00
Hotel, Restaurant & Club Employees & Bartenders Union Local 6	\$ 550.00
HR&A Advisors, Inc.	\$ 850.00
Jewish Board of Family and Children's Services	\$ 25.00
John Alschuler	\$ 450.00
La Fuente, A Tri-State Worker and Community Fund, Inc.	\$ 25.00
League of Education Voters of American	\$ 1,125.00
Mardon Consulting, LLC	\$ 2,130.00
Muss Development LLC	\$ 1,100.00
New York Hotel Trades Council	\$ 550.00
New York Lawyers for the Public Interest, Inc.	\$ 1,575.00
New York Legal Assistance Group	\$ 350.00
New York Taxi Workers Alliance	\$ 1,650.00
Norman Levy, P.C.	\$ 1,400.00
P.S.1 Contemporary Art Center, Inc.	\$ 25.00
Refinery, LLC (The)	\$ 1,450.00
Regional Programs, Inc.	\$ 25.00
Salamone Consulting, Inc.	\$ 100.00
Sharon Levy	\$ 1,080.00
Sheinkopf LTD.	\$ 1,400.00
Sheldon Lobel, P.C	\$ 6,825.00
State & Broadway, Inc.	\$ 6,600.00
The Carey Group LLC	\$ 125.00
The College Board	\$ 1,825.00
Tonio Burgos & Associates, Inc.	\$ 1,350.00
Transportation Alternatives	\$ 25.00
Vincent Petraro, PLLC	\$ 1,075.00
Washington Square Partners, Inc.	<u>\$ 1,100.00</u>
	<u>\$ 53,485.00</u>

APPENDIX E

LATE FEES: 2009 LOBBYIST SECOND PERIODIC REPORT

<u>LOBBYIST ENTITY NAME</u>	<u>AMOUNT</u>
Amirit Technologies, Inc.	\$ 1,200.00
Bill Lynch Associates LLC	\$ 6,625.00
Bryan Cave LLP	\$ 275.00
Citizens Committee for New York City	\$ 1,925.00
Connelly And McLaughlin	\$ 6,150.00
Constantinople & Vallone Consulting LLC	\$ 6,125.00
Hotel, Restaurant & Club Employees & Bartenders Union Local 6	\$ 150.00
IGR Group	\$ 300.00
Law Offices of Marvin B. Mitzner	\$ 1,325.00
Mardon Consulting LLC	\$ 1,530.00
McKenna Long & Aldridge LLP	\$ 250.00
New York Hotel Trades Council	\$ 150.00
New York Lawyers for the Public Interest, Inc.	\$ 75.00
New York Taxi Workers Alliance	\$ 1,050.00
P.S.1 Contemporary Art Center, Inc.	\$ 275.00
Patcon Associates, Ltd.	\$ 1,500.00
Pinnacle Telecom Group, LLC	\$ 1,300.00
Responsible New York	\$ 1,475.00
Robert A. Ungar Associates, Inc.	\$ 775.00
Salamone Consulting, Inc.	\$ 1,200.00
Sharon Levy	\$ 480.00
The College Board	\$ 325.00
Washington Square Partners, Inc.	\$ 200.00
	<u><u>\$ 34,660.00</u></u>

APPENDIX F

LATE FEES: 2009 LOBBYIST THIRD PERIODIC REPORT

<u>LOBBYIST ENTITY NAME</u>	<u>AMOUNT</u>
AIA New York Chapter	\$ 550.00
Archie H. Spigner	\$ 25.00
Bill Lynch Associates LLC	\$ 3,575.00
Carl Andrews & Associates, Inc.	\$ 2,950.00
COFCCA Inc	\$ 375.00
Connelly And McLaughlin	\$ 3,100.00
Constantinople & Vallone Consulting LLC	\$ 4,600.00
East River Realty Company, LLC	\$ 1,425.00
Fund for the City of New York	\$ 125.00
Greater New York Auto Dealers Association, Inc	\$ 25.00
Greenberg Traurig	\$ 375.00
Habitat for Humanity - New York City	\$ 125.00
Housing Conservation Coordinators	\$ 50.00
J. Adams Consulting	\$ 425.00
Make the Road New York	\$ 1,750.00
Mardon Consulting LLC	\$ 920.00
Nagel Law Office, PLLC	\$ 400.00
Reynolds American Inc.	\$ 130.00
Rubenstein Associates, Inc.	\$ 75.00
Rubenstein Communications, Inc.	\$ 50.00
Safe Horizon	\$ 350.00
Sharp Decisions, Inc	\$ 300.00
Telebeam Telecommunications Corporation	\$ 300.00
The Carey Group LLC	\$ 1,425.00
The Friedlander Group	\$ 225.00
The Metropolitan Museum of Art	\$ 25.00
Tonio Burgos & Associates, Inc.	<u>\$ 150.00</u>
	<u><u>\$ 23,825.00</u></u>

APPENDIX G

LATE FEES: 2009 LOBBYIST FOURTH PERIODIC REPORT

<u>LOBBYIST ENTITY NAME</u>	<u>AMOUNT</u>
American Petroleum Institute	\$ 25.00
APICHA, Inc.	\$ 2,650.00
Bill Lynch Associates LLC	\$ 1,650.00
Brown McMahon & Weinraub LLC	\$ 175.00
Carl Figliola and Associates, Inc.	\$ 100.00
Community Service Society of New York	\$ 175.00
Constantinople & Vallone Consulting LLC	\$ 3,050.00
Cozen O'Connor	\$ 1,550.00
District Council 37	\$ 75.00
Jewish Association for Services for the Aged	\$ 150.00
Law Offices of Claudia Wagner LLC	\$ 525.00
Local Initiatives Support Corporation	\$ 850.00
Make the Road New York	\$ 200.00
Mardon Consulting, LLC	\$ 300.00
New-York Historical Society	\$ 250.00
Patcon Associates, Ltd.	\$ 25.00
Patricia Lynch Associates	\$ 1,750.00
Sharon Levy	\$ 350.00
The Carey Group LLC	\$ 1,050.00
The General Contractors Association of New York, Inc.	\$ 50.00
Working Families Organization	\$ 25.00
	<u><u>\$ 14,975.00</u></u>

APPENDIX H

LATE FEES: 2009 LOBBYIST FIFTH PERIODIC REPORT

<u>LOBBYIST ENTITY NAME</u>	<u>AMOUNT</u>
1199 SEIU United Healthcare Workers East	\$ 575.00
American Petroleum Institute	\$ 25.00
Archie H. Spigner	\$ 25.00
Belkin Burden Wenig & Goldman, LLP	\$ 25.00
Bill Lynch Associates L.L.C.	\$ 75.00
Carl Andrews & Associates, Inc.	\$ 375.00
Citizens Union of the City of New York	\$ 25.00
Constantinople & Vallone Consulting LLC	\$ 1,500.00
Fordham University	\$ 350.00
Francis R. Angelino, Esq.	\$ 75.00
Greenberg Traurig	\$ 25.00
Habitat for Humanity - New York City	\$ 25.00
J. Adams Consulting	\$ 175.00
Law Offices of Claudia Wagner LLC	\$ 450.00
Law Offices of Marvin B. Mitzner	\$ 1,600.00
Levy Ratner, P.C.	\$ 575.00
New York Taxi Workers Alliance	\$ 350.00
Paula Luria Caplan	\$ 100.00
Responsible New York	\$ 375.00
Sanctuary for Families, Inc.	\$ 25.00
The Carnegie Hall Corporation	\$ 25.00
The Molinari Group, LLC	\$ 350.00
Wachtel & Masyr, LLP	<u>\$ 350.00</u>
	<u><u>\$ 7,475.00</u></u>